



TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

5-30-06
 IAP15 Rec'd PCT/PTO 26 MAY 2006
 Date of Mailing:

26 May 2006

Attorney Docket No.

0380-P04091US0

U.S. Application No. (If Known)

Not yet assigned **10/580898**

Express Mail Label No.:

EL997340786US

Int'l Application No.

PCT/GB2004/005004

Int'l Filing Date

26 November 2004

Priority Date Claimed

26 November 2003

TITLE OF INVENTION

Phenanthridinium Derivatives as DNA Binding Agents

Applicant(s) for DO/EO/US

PARENTY, Alexis; CRONIN, Leroy and BROWN, Robert

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

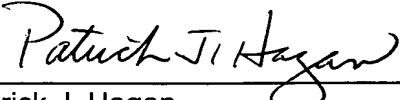
Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:
 - Certificate of Mailing by Express Mail Under 37 C.F.R. §1.10
 - Copy of Form PCT/IB/308 - 1 page
 - Copy of Form PCT/IB/306 - 1 page
 - Postcard
 - Check in the amount of \$1,350

| | | | | | |
|--|--------------|---|---------|---|---------|
| U.S. Application No. 10/580898 Not yet published | | International Application No. 2004/005004 PCT/GB2004/005004 | | Docket No. 20040510300 25 MAY 2006 | |
| The following fees are submitted: | | | | | |
| 21. <input checked="" type="checkbox"/> BASIC NATIONAL FEE | | | | \$300 | \$300 |
| 22. <input checked="" type="checkbox"/> Examination Fee If international preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) | | | | \$100 | \$200 |
| All other situations | | | | \$200 | |
| 23. <input checked="" type="checkbox"/> Search Fee USPTO was International Searching Authority or International Preliminary Examining Authority and all claims satisfy provisions of PCT Article 33(1)-(4) | | | | \$0 | |
| Search fee (37 CFR 1.445(a)(2) has been paid on the international application to the USPTO as a International Searching Authority. | | | | \$100 | \$500 |
| International Search Report prepared by International Search Authority other than USPTO, but provided to Office by Applicant(s) | | | | \$400 | |
| All other situations | | | | \$500 | |
| Total of 21, 22, 23 | | | | | \$1,000 |
| [] Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program filed in an electronic medium). Total Sheets Extra Sheets No. of each add'l 50 (round up to whole number) 121-100 = 21/50 = 1 x \$250 | | | | | \$250 |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date | | | | | \$0 |
| Claims | Number Filed | Number Extra | Rate | | |
| Total Claims | 37 -20 | = 17 | 50 | \$850 | |
| Independent Claims | 6 -3 | = 3 | 200 | \$600 | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | + \$360 | | |
| TOTAL OF ABOVE CALCULATIONS | | | | \$2,700 | |
| <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½ | | | | | |
| SUBTOTAL = | | | | \$1,350 | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)) | | | | \$0 | |
| TOTAL NATIONAL FEE = | | | | \$1,350 | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property | | | | \$0 | |
| TOTAL FEES ENCLOSED = | | | | \$1,350 | |

- a. ☒ A check in the amount of \$1,350 to cover the above fees is enclosed
- b. [] Please surcharge Deposit Account No. 04-1406 in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-1406. A duplicate copy of this sheet is enclosed.

SEND ALL CORRESPONDENCE TO: **CUSTOMER NUMBER 000110** to the attention of the individual listed below.


 Patrick J. Hagan
 Registration No. 27,643

10/580898

AP20 Rec'd PCT/PTO 26 MAY 2006

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**CERTIFICATE OF MAILING
BY EXPRESS MAIL UNDER 37 C.F.R. §1.10**

NUMBER OF EXPRESS MAIL MAILING LABEL: EL997340786US
DATE OF DEPOSIT WITH POSTAL SERVICE: May 26, 2006

I hereby certify that this paper or fee is being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. §1.10 on the date indicated above, and is addressed to the COMMISSIONER OF PATENTS, P.O. Box 1450, Alexandria, VA 22313-1450.

Caren Burgoon

Typed Name of Person Mailing Paper or Fee



Signature of Person Mailing Paper or Fee

Re: New Patent Application
(National Phase of International
Patent Application No. PCT/GB2004/005004
Entitled: Phenanthridinium Derivatives as DNA
Binding Agents
International Filing Date: 26 November 2004
Earliest Claimed Priority Date: 26 November 2003
Our File No. 0380-P04091US00

Enclosures:

- Transmittal Letter to the DO/EO/US Concerning a Filing Under 35 U.S.C. §371 (in duplicate)
- First Preliminary Amendment
- Copy of Form PCT/IB/308
- Copy of Form PCT/IB/306 - 1 page
- Postcard
- Check in the amount of \$1,350